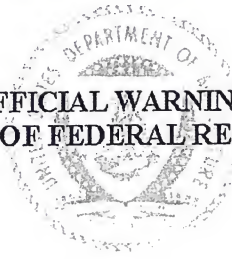


UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS



CASE NUMBER:
CA160079

VIOLATOR:
T. B. Holdings LLC
Customer# 36525
License# 93-R-0506

ADDRESS (Street, City, State, ZIP Code):
1031 Bing Street
San Carlos, CA 94071

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 27, 2015

§2.31(d)(1)(i) – Institutional animal care and use committee (IACUC).

IACUC review of activities involving animals. In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; *Provided, however,* That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed procedures involving animals will avoid or minimize discomfort, distress, and pain to the animals.

Date(s): August 27, 2015

§2.33(b)(2) – Attending veterinarian and adequate veterinary care. (DIRECT)

Each research facility shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): August 27, 2015

§2.33(b)(3) – Attending veterinarian and adequate veterinary care. (DIRECT)

Each research facility shall establish and maintain programs of adequate veterinary care that include daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

Date(s): August 27, 2015

§2.33(b)(5) – Attending veterinarian and adequate veterinary care.

Each research facility shall establish and maintain programs of adequate veterinary care that include adequate pre-procedural and post-procedural care in accordance with current established veterinary medical and nursing procedures.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://acisearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

02/17/16

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4063 7221